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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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DATE: September 21, 2005

RE: Applicants: Roger A. Stern et al.; Serial No. 10/072,610; Filed: Februar 6, 2002; For: Handpiece for Treatment of Tissue; Conf. No. 2778; Our Ref.: THERM-06

TO: Commissioner for Patents

FROM: Kevin G. Rooney

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TOTAL PAGES: 3
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Kevin G. Rooney, Reg. No. 36,330

9/21/05
Date

Applicants: Roger A. Stern et al.
Serial No: 10/072,610
Filing Date: February 6, 2002
Art Unit: 3739
Examiner: Peter J. Vrettakos
Confirmation No.: 2778
Title: HANDPIECE FOR TREATMENT OF TISSUE
Atty Docket: THERM-06

Cincinnati, Ohio 45202

September 21, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF STATEMENT UNDER 37 CFR 3.73(b)

Sir:

Enclosed is a Statement Under 37 CFR 3.73(b) for the above-referenced patent application. It is requested that this document be made of record in this patent file.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.


Kevin G. Rooney, Reg. No. 36,330

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PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Thermage, Inc.Application No./Patent No.: 10/072,610Filed/Issue Date: February 6, 2002Entitled: HANDPIECE FOR TREATMENT OF TISSUEThermage, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015245, Frame 0892, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Dennis Kovach
Signature

9-12-05
Date

Assistant Secretary
Printed or Typed Name

510 259 5258
Telephone Number

Assistant Secretary
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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